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Section: Research

Urban Forest and Rural Cities: Multi-sited Households, Consumption Patterns, and Forest Resources in Amazonia Version: 2

Submitted: 2008/04/11 PDF Created: 2008/04/11

ABSTRACT

- 2. In much of the Amazon Basin, approximately 70% of the population lives in urban
- 3. areas and urbanward migration continues. Based on data collected over more than
- 4. decade in two long-settled regions of Amazonia, we find that rural-urban
- 5. migration in the region is an extended and complex process. Like recent
- 6. rural-urban migrants worldwide, Amazonian migrants, although they may be
- 7. counted as urban residents, are often not absent from rural areas but remain
- 8. members of multi-sited households and continue to participate in rural-urban
- 9. networks and in rural land-use decisions. Our research indicates that despite
- 10. their general poverty, these migrants have affected urban markets for both food
- 11. and construction materials. We present two cases: that of açai palm fruit in the
- 12. estuary of the Amazon and of cheap construction timbers in the Peruvian Amazon.
- 13. We find that many new Amazonian rural-urban migrants have maintained some
- 14. important rural patterns of both consumption and knowledge. Through their
- **15.** consumer behavior they are affecting the areal extent of forests; in the two
- 16. floodplain regions discussed tree cover is increasing. We also find changes in
- **17**. forest composition reflecting the persistence of rural consumption patterns in
- 18. cities resulting in increased demand for and production of açai and cheap
- **19.** timber species.
- 20. Key words: rural-urban migration; urban-rural interactions; Amazonia;
- **21.** deforestation; afforestation

22. Entering the Urban Millenium. The United Nations Population Fund estimates that

- 23. in 2008, for the first time in history, more than half the world's human
- 24. population is living in urban areas (UNFPA 2007). The pace of rural-urban
- 25. migration has been rapid throughout the 20th century, but over the last few

26. decades it picked up markedly and is expected to continue to surge in coming

27. years.

28. While cities around the globe are growing, populations in developing countries

- 29. are urbanizing most rapidly. In the future new urbanites will increasingly be
- 30. found in developing countries; a great many of them will be poor. Since 1970
- 31. the growth rate of slums generally has outpaced that of urban areas (Davis
- 32. 2006:17). UN-Habitat reports that in 2001, about 32% of the world's urban
- 33. population lived in slums; in developing countries this percentage stood at 43%

34. (United Nations Human Settlements Programme 2003).

35. The movement of rural people to cities and the growth of those urban areas are processes that have historically had important impacts on rural environments, 36. 37. including forests. How rural-urban migration specifically affects forest cover 38. in tropical countries has recently received considerable attention (Aide and Grau 2004, Garcia et al. 2007, Lambin et al 2001, Wright and Muller-Landau 39. 40. 2006, and others). Urbanization will lead to recovery of substantial areas of 41. tropical forest if migration drains the rural zones of population and returns 42. abandoned farmland to forest, as occurred in northern Europe and North America 43. as well as in some areas of the Caribbean, and South America (Aide and Grau 44. 2004, Grau et al. 2002, Wright and Muller-Landau 2006). In some tropical 45. countries where urbanward migration is taking place, and small farmers have decamped, researchers have noted, however, that forests do not increase. 46. 47. Rather, many smallholder farms are replaced by a few large-scale producers --

- 48. in Latin America often cattle ranches (Rudel et al. 2002) -- or by new migrant
- 49. farmers who simply replace those who left (Fearnside 2005).

50. Elsewhere in tropical regions undergoing substantial urbanization, migration 51. results in neither dramatic decreases in rural populations nor abandonment of crop production, but does lead to growth of forest cover. In these zones both 52. 53. the extent of tree cover and the composition of forests may change because of shifts in the type of crops produced and the kind of resource management 54. 55. employed (Rudel et al. 2002). The prevalence of such trends, Rudel notes, may 56. reflect substantive differences between the patterns of urbanization and industrialization in present-day Latin America and Southeast Asia and those 57. 58. that prevailed in North America and Europe when their "forest 59. transitions" occurred. Among such important dissimilarities are new forms 60. of migration and household economic strategies reflecting improvements in 61. communication and transportation in many poor countries of Asia and Latin America. But while relocation may be easier, good jobs in growing cities 62. 63. remain scarce, making exclusively urban employment and residence a risky strategy. Researchers worldwide have pointed out that rural-urban movements in 64. 65. poorer countries are now typically impermanent or circular, and multi-sited or dispersed households that continue rural production while also depending on 66. 67. off-farm, often urban incomes, are increasingly the norm (Dufour and Piperata

68. 2004, Kruger 1998, Rigg 2003, Rudel et al. 2002, Tacoli 2002).

69. Based on our research in several regions of Amazonia, in this paper we suggest

- 70. that complex demographic flows between rural and urban areas, and multi-sited
- 71. households characterize communities in the Amazon floodplain, and these

- 72. characteristics are affecting both the extent and the nature of forests in
- 73. these long-settled areas of Amazonia. Our data suggest that many urbanward
- 74. migrants in Amazonia are not really absent from rural zones; they remain
- 75. members of households with livelihood activities in both rural and urban
- 76. environments. Whatever their primary residence, these mobile Amazonians
- 77. continue to participate in rural-urban networks, maintain their rural-based
- 78. preferences and needs, and retain their knowledge of rural resources and
- 79. products.
- 80. Several specific mechanisms, other than land abandonment, through which
- 81. rural-urban shifts affect rural communities and environments have been
- 82. described. These include the remitting of funds to rural household members
- 83. (Tiffen et al. 1994, Hecht and Saatchi, 2007), changes in rural labor
- 84. availability (Rudel 2005, Preston 1989), variation in the gender composition of
- 85. households (David 1995, cited in McDowell and de Haan 1997), the shifting
- 86. demands urban consumers make on agricultural and other rural resources
- 87. (Brondizio et al. 2002, Tacoli 2002). In this paper we suggest that as
- 88. consumers, new city dwellers in the Amazon have significantly changed urban
- 89. markets and consumption patterns for forest products despite their poverty. We
- 90. use examples of a staple food and construction materials to illustrate how the
- 91. consumer choices of recent urbanites are affecting rural landscapes,
- 92. specifically forest cover and the nature, structure, and composition of Amazon
- 93. forests.
- 94. The urbanization of Amazonia. The complex history of urbanization in the
- 95. Brazilian Amazon has interested scholars since at least the 1950s (Wagley 1953,
- 96. Becker 1985, Browder and Godfrey 1997, Vicentini 2006). Regional urbanization
- 97. reflects an interaction, on one hand, of the region's economic and
- 98. demographic history and long-standing connections to global markets, and, on
- 99. the other, a strong presence of the state. Following a vision of national
- 100. integration dating back more than half a century, the federal government of
- **101.** Brazil has repeatedly articulated and executed strategies of colonization,
- 102. resource exploitation, and economic development. These efforts spurred
- 103. migration to Amazonia, marked by waves of colonization of agrarian settlements
- 104. and rural towns. High rates of turnover in colonization areas, in turn,
- 105. accelerated urban expansion. As Becker (1988) observed, urbanization in the
- 106. Brazilian Amazon and this also applies to neighboring Peru is a
- 107. complex and multi-faceted process that comprises the growth of older centers
- **108.** (such as Belém and Manaus in Brazil and Iquitos in Peru), the formation
- 109. of new ones (such as Jiparaná in Rondônia, and Nueva Cajamarca in
- 110. Peru's San Martin region), as well as the reproduction of numerous small
- 111. and medium towns throughout the region.
- 112. By 2000, the national census indicated the population of Brazil's
- 113. "Amazônia Legal" (i.e., the states of Amazonas, Para, Acre,
- 114. Tocantins, Mato Grosso, Maranhão, Amapá, Rondônia and
- 115. Roraima) was already about 70% urbanized, compared to 82% for the country as a
- 116. whole. Comparing the broad process of urbanization in all of Brazil to that of
- 117. its Amazonian region, we note that Amazonia's urban growth began later
- 118. (in the 1970s versus the 1950s for Brazil), but that in recent decades the
- 119. Amazonian urbanization rate has increased rapidly and is approaching that of

120. the country as a whole. Census data show the urban population of all Brazil

121. increased by 82% between 1970 and 2007. The urban population of Amazônia

122. Legal grew 430% during this same period (IBGE 2007). While Brazil has mostly

123. experienced steadily declining rates of population increase, the Amazon region

124. continued to see recurrent spikes and contractions in growth rates, reflecting

125. waves of migration to different parts of the region.

126. In the Peruvian national census of 2005, the populations of the vast departments

127. of Loreto and Ucayali, which cover the greatest portion of the lowland Amazon

128. region, were already 63% and 72% urban, respectively, in a country where almost

129. 75% of the total lived in cities (INEI 2007). This urban predominance largely

130. reflects rapid growth of Peru's two largest Amazonian cities, Iquitos and

131. Pucallpa, which increased in size dramatically beginning in the 1960s. Due to

132. massive immigration from rural areas of Loreto, the population of Iquitos more

133. than quadrupled between 1961 and 1993 (Santos-Granero and Barclay 2000:286). In

134. the same period the population of Pucallpa along the upper Ucayali River

135. increased more than six-fold (Santos-Granero and Barclay 2000:286).

136. As we describe in more depth for Pucallpa below, urban immigrants tend to reside

137. in hastily constructed, grossly substandard housing in urban settlements that

138. are opportunistic, illegally occupying terrain formally owned by either private

139. or public entities. While infrastructure tends to improve with time, access to

140. basic urban services in virtually all of these settlements is very limited. In

141. 1991, in Belém and Manaus, respectively, 25% and 35% of households were

142. not connected to the municipal water system and only 2% were connected to

143. sewers (IBGE 1990), a situation that resembles the rural areas from which

144. immigrants come where such services are generally absent (Perz 2000).

145. Brazilian urban geographer Bertha Becker (2005) has noted that the need to

146. understand urbanization processes in a broader context, and suggests that mere

147. numbers showing the growth of populations in cities and towns give an

148. inadequate picture of the extent and meaning of urbanization in Amazonia. The

149. transformation of the values of Amazon society are another, if less easily

150. calculated measure of urbanization. Becker famously describes all Amazonia as

151. an "urbanized forest". In similar fashion, we argue in this paper

152. that family networks spanning rural and urban areas create venues for the

153. circulation of goods, access to employment, education, and health services.

154. These networks make urban areas an intrinsic part of an expansion of the rural,

155. and vice-versa.

156. Rural, urban and in-between. Despite the dramatic growth of Amazonian urban

157. places mentioned above, it is important to note that the urbanization of

158. Amazonia as a process has not been simple, linear, or unidirectional.

159. Demographic exchanges between rural and urban areas in recent decades have included shifts that temperarily reversed present urbanyard trands (Pagenote

160. included shifts that temporarily reversed present urbanward trends (Paganoto

161. 2007). A recent example is the negative growth of Boa Vista, capital of the

162. Brazilian state of Roraima, which saw a 4% drop in population between 1995 and **163.** 2000, as government-sponsored rural resettlement programs pulled the urban poor

163. 2000, as government-sponsored rural resettlement programs pulled the urban poor **164.** back to the countryside, temporarily reversing urbanization trends (Sathler et

164. back to the countryside, temporarily reversing urbanization trends (Sathler et 165. al. 2007). Similar shifts in Peruvian Amazonia occurred in the 1970s and early

- 166. 1980s when large numbers of men –both rural and urban dwellers –
- 167. found long-term employment in distant forests where oil exploration and
- **168.** pipeline construction was underway.
- 169. The numbers and narratives describing the ebb and flow of people present,
- 170. however, a deceptively simple picture of demographic relationships between
- 171. urban and rural places. While people in Amazonia are classified as residing in
- 172. either urban or rural places, researchers around the world have noted (Tacoli
- 173. 2002, Smit 1998, Rigg 2002, 2003) that the distinction is often difficult to
- 174. make. While rural areas in Africa and Asia are becoming increasingly
- 175. "de-agrarianized" and urban, a trend of "ruralization"
- 176. of cities and towns has also been described, with rural lifestyles, attitudes,
- 177. and occupations persisting in even large urban conurbations (Kruger 1998).
- **178.** Apparently discrete rural and urban categories in Amazonia also appear
- 179. indistinct and rural and urban spaces and peoples are inextricably linked in
- 180. numerous ways (Nugent 1993, Wagley 1953, WinklerPrins 2002, WinklerPrins and de
- 181. Souza 2005, Siqueira 2006). A great many newly urban households are
- 182. "multi-sited", "multi-local", or dispersed, maintaining
- 183. houses and, commonly, economic activities in rural areas as well as in the city.
- 184. Data obtained through interviews in five rural communities in the Brazilian
- **185.** state of Amapá confirm these findings: of the 483 households censused in
- 186. the five villages of Foz de Mazagão, Ipixuna, Bacaba, Santo
- 187. Antônio, and Lontra Pedreira in 2005, 402, or approximately 83%, have a
- 188. house in the state's largest cities of Macapá or Santana, or in
- 189. the district capital, Mazagão Novo (Pinedo-Vasquez and Padoch, in
- **190.** press).
- **191.** While multi-sited households are not new to Amazônia, recent changes in
- 192. communications and transportation, markets and labor opportunities have greatly
- 193. amplified the prevalence of this residence and economic pattern (Stearman 1985).
- 194. Nugent (1993) described how several such large extended families, or
- 195. 'kindreds,' in the region of Santarém maintained firm
- **196.** residential bases in both city and village, relying upon the resources of both.
- 197. More recently, WinklerPrins (2002, 2006) has described smaller groupings or
- 198. households that maintain such dual residence patterns in the Santarém
- 199. area. She describes not only frequent demographic movements between urban and
- 200. rural places, but also complex and multi-functional networks of support and
- 201. interaction that link residents of Santarém with their rural kin in an
- 202. "economy of affection" (WinklerPrins and de Souza 2005). Two-way
- 203. flows of food and other resources of people, as well as of news and
- 204. knowledge reinforce this "economy of affection", continue
- 205. to blur rural-urban distinctions, and render rural-urban migration a drawn-out
- **206**. process rather than a simple event.

207. Two case studies of urban and rural change from two widely separated areas of

- 208. Amazonia serve to illustrate our points. Each case study, based on field
- 209. research we have been conducting for over a decade (see the Appendix for a
- 210. description of research sites and summary of methods), involves ribeirinho (in
- 211. Brazil, *ribereño* in Peru) peasant households and communities that occupy

212. and manage sites in the várzea, or floodplains of the Amazon and other

213. rivers.

214. Açai: Rural tastes and urban markets. The floodplains of the Brazilian 215. Amazon in the states of Amapá and Pará are areas where the "deforestation frontier" passed centuries or decades ago, but where 216. 217. important land use and land cover change continues (Perz and Skole 2003). The 218. ribeirinhos comprise a mixed population of residents native to the estuary and 219. of long-settled migrants from other regions and environments. Households are 220. typically multi-sited, combining production activities with labor in both rural and urban settings. In rural areas families engage in diverse production 221. 222. activities, including small-scale swidden agriculture, agroforestry, forest 223. management for timber and non-timber products, fishing and shrimping, cattle and buffalo ranching, and hunting. The rural landscape comprises a mosaic of 224. 225. ranches, villages, farms, gardens, isolated houses, abandoned house sites, and young and old second growth forests. The city is important to families as a 226. center for education, health care, employment, and it is where rural families 227. 228. must go to collect pension payments and other government benefits.

229. Recent government census data show most estuarine municipalities with a majority

230. of families still living in rural areas (IBGE 2007), but our field data

231. indicate that most rural households interact with and depend on urban areas

232. very frequently. A recent unpublished survey we carried out among 262

233. households (including 2166 individuals) in seven rural communities in Ponta de

234. Pedras, Pará, indicates that 41% of individuals who were considered

235. members of these rural households actually live in the city. The same survey

236. indicates that over 95% of individuals go to town at least once a week.

237. Preliminary data from an ongoing survey of urban households show similar

238. dependence of town residents on the rural environment.

239. Frequent movements between village and city in the estuary commonly take many

240. hours of travel by boat and/or bus, but in most places travel has become

241. increasingly convenient. Twenty years ago a trip from Ponta de Pedras to the

242. city of Belém, for instance, could be done only once a week in a cattle

243. boat and took 5 to 6 hours or more; today two competing boats make the daily

244. journey in 2 to 4 hours. From our Mazagao River research sites in Amapá

245. villagers now reach the small district capital of Mazagao Novo in about 3 hours

246. and the regional cities of Santana and Macapá in an additional 2 hours.

247. The estuarine region is characterized by highly dynamic cultural and natural

248. landscapes. Global market transformations, migration and dislocation of rural

249. and urban populations, and social institutions and networks have played

250. important roles in shaping these landscapes by creating demands for certain

251. products and services at distinct historical periods and effecting fluctuations

252. in forest cover (Perz and Skole 2003, Brondizio 2006; Pinedo-Vasquez et al.

253. 2002b). The estuary is home to Amazonia's historically preeminent city,

254. Belém, Amapá's state capital, Macapá, and a series

255. of secondary urban areas mostly accessed by river or air. While it has recently

256. been eclipsed by Manaus as the Basin's largest city, Belém

257. registered meteoric growth over the last several decades, increasing in

- 258. population from about 300,000 residents in the 1950s to over 2 million in the
- **259.** metropolitan area today. Much of that growth was a result of rural
- 260. families' migrating or sending members from the islands, floodplains and
- 261. small towns of the estuary, an area traditionally settled by ribeirinhos with
- 262. distinct rural culture, including food-consumption patterns (Murrieta et al.
- 263. 1999). Our survey, referenced above, indicates that 25% of household members
- 264. who left the rural area went to Belém.
- **265.** The impacts of international market demands on Amazon environments, including
- **266.** markets for rubber (Barham and Coomes, 1994; Weinstein 1983) and timber
- 267. (Bierregard et al. 2001) have been widely discussed, but changes in demand for
- 268. low-cost, distinctly regional staple foods in an urbanizing Amazonia are also
- 269. important. The fruits of açaí (*Euterpe oleracea*) a palm native to
- 270. the Amazon estuary and extensively managed in mixed forestry and agroforestry
- 271. systems by farmers, have been an important food in the Amazon estuary since
- 272. pre-Columbian times. Until the 1970s, however, when urbanward migration surged
- 273. in the region, the consumption of açaí was invariably a sign of
- 274. rural residence and rural tastes, eaten in urban areas only as an occasional
- 275. treat, usually dessert (Strudwick and Sobel 1988).
- 276. Açaí consumption is no longer just a rural habit. Reports indicate
- 277. that consumption of açaí juice in Belém rose from 90,000
- 278. L/day in the late 1980s to an estimated 400,000 L/day in the late 1990s
- 279. (Mourão 1999, Rogez 2000, IBGE 1974–2003), an increase largely
- 280. mirroring urbanization rates. This figure implies an estimated consumption of
- 281. more than 60 L/person/year, or as noted by Rogez, twice the volume of milk
- 282. consumed in Belém. Families with the lowest level of income consume the
- **283.** largest amount of this food, buying and eating it fresh twice daily as a
- **284.** staple. Poullet (1998) estimates that daily consumption in another smaller
- **285.** estuarine city, Macapá, ranges from 27,000 to 34,000 L.
- **286.** The boom in açaí consumption and production represents perhaps the
- 287. most impressive case of a rural food both supporting a growing, low-income urban
- 288. population, and spurring the intensification and expansion of a forest-based
- 289. production system throughout the estuary. In contrast to earlier urban
- 290. dwellers, when ribeirinhos established urban residence in large numbers they
- 291. brought and maintained their açaí habits. In addition to
- **292.** providing affordable nourishment, açaí adapted well to an urban
- 293. lifestyle based on opportunistic work and the informal economy. The preference
- 294. for açaí by the urban poor, and its importance as a cultural food
- **295.** provided continuity and security to a population living with the uncertainties
- **296.** of an informal urban economy. Açaí continues to have important
- 297. symbolic value as nourishment that is both familiar and plentiful for the
- **298.** underprivileged both the recently rural and those who always lived in cities.
- 299. Açai has become not only an urban food, but a "fashionable"
- 300. one as well (Brondizio, 2007.)
- 301. As açaí has gained importance as a food during the last 30 years,
- 302. the Amazon estuary has experienced what Hiraoka (1994) called
- 303. "açaízation" or the expansion of açaí

- 304. production in estuarine ecosystems. Over the past two decades, farming in the
- 305. region has shifted from predominantly annual-crop agriculture to forest-based
- 306. production systems centered on açaí fruit, heart of palm, and
- 307. managed and unmanaged forestry (Pinedo-Vasquez and Padoch, in press). This
- 308. region, which corresponds in part to the "settled" zone discussed
- 309. by Perz and Skole (2003) has, contrary to much of the rest of the Amazon,
- 310. recently experienced net afforestation and decreasing rates of forest clearing
- 311. over the past several decades (Brondizio 2008).
- 312. Within a 10 km radius around the of Marajó Island town of Ponta de
- 313. Pedras, the area of intensive açaí agroforestry increased from
- 314. around 1,100 ha in 1985 to around 2,150 ha in 2000, and we estimate it now
- 315. occupies more than 4,000 ha. This last estimate represents more than 75% of the
- 316. total area of floodplain forest in the area analyzed. At the community level,
- 317. riverine communities have 75% or more of their territories under forest cover
- 318. mostly managed for acai production, while upland communities with a history of
- **319.** pasture and mechanized agriculture experienced a doubling of area in secondary
- 320. vegetation over the past decade when these activities were abandoned in favor
- 321. again of agroforestry. Similar rates of regrowth characterize communities
- **322.** previously dedicated to small-scale manioc cultivation.
- 323. The expansion of açaí agroforestry in the Amazon estuary has had
- 324. varying impacts upon forest biodiversity. Açaí palms are
- 325. relatively abundant in estuarine floodplain forests, varying in density and
- 326. distribution depending on environmental and anthropogenic factors. Different
- 327. management and planting strategies transform these areas into
- 328. açaí agroforestry, or in local terms, *açaizais*. The term
- 329. encompasses stands under different intensities of management, population
- 330. densities, structures, species diversity and composition. While at the plot
- 331. level one may observe a decline in tree species diversity in the
- 332. açaizais when compared to unmanaged floodplain forest, a broader
- 333. landscape view including plots at different levels of management may show an
- 334. increase of tree species diversity (Brondizio 2008). As a number of
- 335. researchers have pointed out, the composition of forests in many instances of
- 336. "forest transition" is highly variable, ranging from plantations of
- 337. exotics to diverse forests, and often bear little resemblance to what existed
- 338. before recent deforestation (Farley, 2007; Rudel et al. 2005, Perz and Skole
- 339. 2003).

340. Building squatter settlements: Forestry by the poor for the poor. At almost the

341. other end of the Amazon Basin, along the Ucayali River in Peru, an influx of

- 342. cheap agricultural products to Amazon cities from outside the region has led to
- 343. a decline in the agricultural sector and the loss of an important source of
- 344. income for smallholders (Pinedo-Vasquez et al. 2002a). At the same time,
- 345. however, increasing urbanization, especially the growth of squatter settlements
- **346.** in Pucallpa and other cities as distant as Lima, has created demand for
- 347. inexpensive construction materials. The economic growth of small urban centers,
- **348.** such as provincial capitals, has added to that demand. More than half the
- 349. population of Pucallpa, the second largest city of the Peruvian Amazon, resides
- 350. in informal or squatter settlements, known as asentamientos humanos (AA.HH.).
- **351.** According to a director of a grassroots organization representing inhabitants

352. of many AA.HH., the Comite Unificado of the Federación de Asentamientos 353. Humanos of Pucallpa and neighboring Yarinacocha, by mid-2007 there were 579 354. named and organized squatter settlements in the urban area. Some AA.HH. date 355. from the 1970s and have been legalized, with title granted to all residents. 356. The great majority of AA.HH residents however, remain members of illegal or 357. extra-legal settlements. Reflecting the precariousness of many settlements and 358. poverty of their residents, dwellings are mostly built by the squatters 359. themselves of cheap wood, palm thatch, as well as plastic sheeting, and other 360. inexpensive materials. Of 438 households surveyed in 42 AA.HH. in Pucallpa and Yarinacocha, we found that 238 houses (65%) had walls made of bolaina (Guazuma 361. 362. crinita), a fast-growing timber species found in agricultural fallows; many were framed with *capirona* (*Calycophyllum spruceanum*), another prolific fallow 363. 364. species.

- **365.** Timber-rich fallows exist throughout Amazonia. The highly agrodiverse
- 366. landholdings of rural smallholder farmers, with patches of species-rich fields,
- 367. fallows, housegardens and forest, allow for a high degree of flexibility in what
- 368. they produce as well as in the volume of production (Pinedo-Vasquez et al.
- 369. 2002b; Padoch and Pinedo-Vasquez 2006). Rural smallholder farmers in Peruvian
- 370. Amazonia, as elsewhere, have responded to the growing demand for timber and
- other forest-based products in regional cities by shifting their emphasis from
 commercially-oriented crop production to a combination of smaller-scale
- 373. subsistence agriculture and management of forest products, especially of
- 374. fast-growing timbers.
- 375. Along the Ucayali, downriver from Pucallpa, we have documented a broad decline
- 376. in the numbers of production units dedicated to agricultural cash crops and
- 377. mature forests and a corresponding increase in units dedicated to complex
- 378. agroforestry and managed secondary forest systems, subsumed under the
- 379. "fallows" category (Table 1). Similar to the case on the Brazilian
- 380. estuary described above, this trend has resulted in an increase in forest cover
- **381.** in traditionally agricultural areas.
- 382. The increased demand for cheap construction materials has resulted in a
- **383.** significant decrease in the average size of annually-cropped fields and a
- 384. corresponding increase in the size of fallows (Table 2). Data collected in 2007
- 385. from a sample of 39 landholdings in two districts along Peru's Ucayali
- 386. River show that all households maintain fallows, with an average of 2.4 fallow
- **387.** units per landholding; 86% of these fallows contain timber.

388. Since the demand for fallow timber is rising, farmers are managing for timber
389. production on their landholdings. Farmers produce timber in agricultural
390. fallows by protecting the natural regeneration of fast-growing species at the
and of the cropping cycle and by enriching the established fallows with
slow-growing species. This pattern of concurrent management of annual and tree
crops (Padoch and Pinedo-Vasquez 2006) allows for the establishment of tree
saplings on cleared land before weedy second growth begins to dominate and

- **395.** overtake the slower growing trees. In some cases farmers have ceased planting
- 396. semiperennial crops such as bananas in fields where natural regeneration of
- 397. timber trees is healthy.

398. Under this fallow management system, bolaina can grow in dense, monodominant

- 399. stands, with upwards to 1200 trees per hectare in a young fallow (<3y). Once
- 400. the stand is four years old, the bolaina, which can attain a diameter of 25cm at
- 401. breast height (dbh) by then (Weber and Sotelo Montes 2008), can be harvested for
- 402. production of *tablillas* or small boards, a form of timber used by AA.HH.
- 403. residents. Our survey of landholdings reveals that with little management
- 404. farmers have an average of 200 commercial sized trees (\geq 25cm dbh) per
- 405. hectare. Intensively managed stands can yield 500 commercial trees per hectare,
- **406.** either for clearcutting in a single year or as three harvests two years apart
- 407. with an average yield of 150 commercial trees per harvest.
- **408.** Timber for construction is one of the most important products of fallow
- **409.** management systems (Sears and Pinedo-Vasquez 2004). Simple house construction
- 410. demands a number of products including poles, tablillas, and plywood. Each
- 411. product can be made of several different species, which allows for the
- 412. management of a diversity of timber species in fallows. In our survey of fields
- 413. and fallows, a total of 30 useful tree and palm species were found, 17 of which
- 414. are timber species, six fruits and the rest other utilitarian species. One
- **415.** farmer had all 17 timber species growing in his managed fallow.

416. The sale of fallow timbers can be very profitable, depending on access to labor, 417. transportation and permits. Increasingly, bolaina- and capirona-rich fallows are 418. becoming the main source of income for smallholders affected by low prices for 419. traditional agricultural crops. Farmers calculate that selling timber from 420. managed fallows yields more profit than raising cattle in the same area. As 421. shown in Table 3, a single hectare of bolaina under minimal management, 422. yielding 200 trees per hectare at year four, can net \$1300 in profit for the 423. producer. More intensive management can yield over over \$3000, and a 424. well-managed fallow can yield three harvest cycles, each two years apart ,netting on average \$1000 per harvest. Data collected in the Pucallpa markets 425. 426. in 2007 show that the price of bolaina lumber has more than tripled between 427. 2004 --when tablillas first emerged as a market item -- and 2007, from \$16 to 428. \$53 per 100 tablillas. Likewise the price has almost doubled in Lima over the 429. same time frame, from \$50 to \$88 per 100 tablillas, indicating that demand for 430. tablillas has been increasing in coastal cities that are also experiencing an

431. influx of poor rural immigrants.

432. The transformation of fallow timber to construction lumber useful in the AA.HH. 433. mostly occurs in rural areas, with the use of portable sawmills. The mills are usually owned and operated by rural residents. However their success depends 434. 435. on the political and economic backstopping of an urban associate, without which 436. they have little chance of obtaining the required permits for timber harvest and 437. transformation or the cash loans necessary to keep the mill running in hard 438. times. As such, the production and marketing of the fallow timber depends on 439. complex networks of actors who maintain rural-urban identities and livelihoods. The dual knowledge base of recent urban dwellers – with their access to 440. 441. urban markets, and their knowledge of the location, use, and management of 442. rural resources – is helping to drive the shift in land use and stocks of natural resources toward an emphasis on timber. 443.

444. How well rural residents are able to maintain control over their resources in
the face of the increasing urban demand and the expansion of their urban
networks depends on the strength of demand signals and the equitability of
natural resource policy and regulation. It also will depend on their ability to
adapt their production systems to new social and economic opportunities and
challenges that are created by the expansion of Pucallpa and other urban
centers and possible entrenchment of future rural-urban generations into purely

- 451. urban living. It is clear that the maintenance of urban and rural residence and
- 452. identity is helping Ucayali households build networks that allow them to find
- 453. buyers for their forest and agroforest products in expanding urban markets, and
- 454. that this, in turn, is affecting changes in land use in rural landholdings.

Concluding remarks: The "Urban Forest". As we move into an 455. "Urban Millenium," the effects of urbanward migration on rural 456. 457. environments are sure to be increasingly important. In this brief paper we have attempted to show that in Amazonia neither the demographic flows nor their 458. 459. environmental effects are straightforward or easily summed up in numbers of 460. people migrating, or hectares of farmland abandoned. We find that migration is 461. an extended and complex process, not a simple event, and that the migrants, 462. although now counted as urban residents, are often not truly absent from rural 463. areas. We find evidence for new forms of household arrangements connecting urban and rural areas and responding to opportunities and constraints created 464. 465. by limited service infrastructure, employment, and global commodity markets for regional products. They frequently remain members of dispersed and multi-sited 466. 467. households and continue to participate in rural-urban networks and in rural 468. land-use decisions. Despite their general poverty, the migrants affect urban 469. markets and consumption patterns, particularly with regard to rural products, 470. such as açai and cheap timber. These changes have in turn had important 471. and complex effects on rural landscapes: not just on the areal extent of 472. forests – where we find surprising increases in tree cover – but also on their composition. We find that the new urbanites' maintenance 473. 474. of ties to rural homes and persistence of rural preferences, tastes and housing 475. patterns, has been in some senses a "ruralization" of Amazonian cities. Households of the Amazon "urban forest", like their 476. 477. counterparts in Africa, Southeast Asia continue to move to cities, redefining

- 478. what it is to be urban as well rural, and thereby transforming the forests and
- **479.** cities of Amazonia.

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Table 1. Changes over twenty years in average area of four types of land use units on 47 family landholdings near Contamana from 1986 to 2006.

Area under land use (ha)	1986	1996	2006
Average landholding	29	27	28
Forests	7	6	3
Fallows	5	12	18
Fields	8	3	2
Pastures	9	6	5

Table 2. Number of land use units, area and presence of timber from landholdings in Contamana and Nuevo Piura in 2007 (n=39).

Land use unit	Average area per landholding (ha)	Number of units per landholding	Units with timber (%)
Fallow	2.4	10.6	86
Field	1.1	1.8	55
Pasture	<1	5.2	25
Forest	<<1	11.3	100

Table 3. Potential tablilla production and profits to the farmer from one hectare of managed fallow, assuming 25cm dbh commercial diameter, according to management intensity and harvest cycle.

Management level	No. commercial trees / ha	No. of tablillas	Potential profit
Light	200	4,800	\$1,300
Intensive, Clearcut	500	12,000	\$3,300
Intensive, First harvest	200	4,800	\$1,300
Second harvest	150	7,200	\$1,800
Third harvest	100	10,000	\$2,700

APPENDIX 1. Field Sites and Research Methods

Appendix: Field Sites and Research Methods

The research on which much of the data presented in this paper are based was carried out in three field research sites. Two are located in the estuary of the Amazon River: one on Marajó Island, focused on the region around the town of Ponta de Pedras in the Brazilian State of Pará, the second on the floodplains around the city of Macapá in the State of Amapá. The third is on the Ucayali and Aguaytia Rivers in the lowland Peruvian Amazon, centered on rural sites near the town of Contamana along the Ucayali and the village of Nueva Piura on the Aguaytia. Urban data were gathered in the following cities: Pucallpa and Yarinacocha in Peru, and Macapá, Santana, Belém and Ponta de Pedras in Brazil. Marajó. The Marajó Island research site has been studied since 1989. Systematic ethnographic surveys began in 1990. Demographic and economic interviews were based on structured and semi-structured questionnaires and applied to 129 sampled households. A strong emphasis was also placed on participant observation during this and later phases of fieldwork. All data collected were spatially referenced. In 2007 a survey was carried out among 262 households (including 2166 individuals) in seven rural communities in the Ponta de Pedras area on patterns of rural-urban residence and travel, employing a semi-structured interview approach. A similar survey is being now conducted among immigrants to Belém from Ponta de Pedras. Acai production areas were selected for vegetation inventory, land use history, and experiments to measure fruit productivity under different intensities of forest management. Selection of areas for inventory was based two main factors: (1) years since management started and (2) management steps applied to the stand. Four adjacent plots (25 X 25 meters), and four randomly distributed subplots (5 X 2 meters and in some cases 10 X 2 meters) were distributed within the area. The total sampling area (2500 m²) was distributed according to spatial characteristics of acaí stands and the floodplain environment. However, in areas of unmanaged floodplain forest, the sampled area was doubled (5000 m²) to increase the representation of floristic and structural measurements. In these areas plots were sorted randomly into different sides of a 200-meter transect, and subplots were randomly distributed within each plot. In each plot, all tree species with DBH 10 cm were identified at the species level and measured for DBH, stem height (measured at the first major branch) and total height (measured at the top of the canopy). In the plots, all acaí stems with $DBH \ge 5$ cm were measured for DBH and total height, and number of stems per clump assessed. In each subplot, all individuals were identified and counted, and individuals with $DBH \ge 2$ cm were measured for DBH and total height. The identification of plant species was done in the field (for commonly known species) and samples were collected and later identified at the EMBRAPA herbarium in Belém. All sampled areas were geo-referenced and contributed to multitemporal analysis of land cover change using aerial photography and satellite data. Land cover mapping and change detection was carried out for 1969, 1985, 1988, 1991, 1995, 2001. Intensively managed acaí agroforestry areas were mapped using Landsat TM, ETM, and IKONOS data coupled with intensive fieldwork and vegetation sampling. Transition matrices were used to estimate rates and direction of change between dates, including for areas of intensively managed acaí agroforestry.

Experiments to measure açaí fruit productivity were carried out during the production seasons of 1994 and 1995. Experimental plots were located based on the producer's indication of the site (with its respective history) and on the analysis of area boundaries and characteristics. At each site, a 25 X 25 meter plot was marked. A subplot of 10 X 10 meters was set up inside the plot and marked in the same way. Subplot location was based on a stratified random selection.

Amapá. In the Amapá floodplain data collection began in 1994. Changes in land use were studied in seven communities in the Mazagao watershed southwest of Macapá and in five communities in Ipixuna, northeast of the city. Demographic, economic, and land use surveys were carried out using semi-structured household interviews with 140 families in 1994, 1999, and 2006. Market surveys were conducted at various times over the last decade. In 2007 a survey was carried out among 70 households in floodplain communities in the Ipixuna and Mazagao areas on patterns of rural-urban residence and travel, employing a semi-structured interview approach. A similar survey is being now conducted among immigrants to Macapá and Santana from these rural villages. Since December 2006 until the present 20 multi-sited families with members living in both rural and urban zones have been interviewed

weekly about rural-urban and urban-rural travel noting the frequency and purpose of visits. Permanent plots of one hectare were set up in 12 landholdings in each of the two floodplain areas, Mazagao and Ipixuna in 1999. Permanent plots of one hectare were also established in 12 unmanaged forests (6 in each site) surrounding the 24 selected landholdings. Floristic inventories of all trees ≥5cm dbh in 100% of the total area of the permanent plots were carried out in the 24 selected landholdings and 12 surrounding unmanaged forests. Floristic inventories continue to be carried out every three years in all the permanent plots, measuring growth to estimate mortality and recruitment, and collecting data on productivity of extracted products. For estimates of changes in land cover we have been using greyscale areal photographs from November 1976, Landsat TM images from July1986, August 1992 and October 2006. Land cover mapping and change detection was carried out for 1976, 1986, 1988 and 1992 and published previously in a master's thesis (Pereira, 1998).

Peru. Research on the specific areas and issues relevant to this article began in 1999. The data cited in Tables 1 and 2 were gathered through interviews with 47 farm households with rural landholdings around the town of Contamana and from data in cadastres located in the archives found in Contamana. Floristic inventories were also conducted in 20 of those landholdings in Contamana as well as nine landholdings in Nueva Piura along the Aguaytia River. In each of the 29 selected landholdings one hectare of forest was sampled and all trees ≥5cm dbh were measured.

Urban data have been collected in the city of Pucallpa and neighboring Yarinacocha since 2005. Semistructured interviews were carried out with residents of about 500 households in 42 of the city's *asentamientos humanos*. Interviews focused on the migration history, employment history, and use of a variety of rural products including construction materials in housing. In Peru we have used Landsat TM images from July 1992 and August 2005 to build land cover maps to detect the impact of land-use changes, particularly timber extraction.